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Energy-Aware Service Function Chain Embedding in Edge-Cloud Environments for IoT Applications

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Abstract—The implementation of Internet of Things (IoT) applications faces several challenges in practice, such as compliance with QoS requirements, resource constraints and energy consumption. In this context, the joint edge-cloud paradigm for IoT applications can resolve some of the issues arising in pure cloud computing scenarios, such as those related to latency, energy or privacy. Therefore, an edge-cloud environment could be promising for resource and energy-efficient IoT applications that implement Virtual Network Functions (VNFs) bound together into Service Function Chains (SFCs). However, a resource and energy efficient SFC placement requires smart SFC embedding mechanisms in the edge-cloud environment, as several challenges arise, such as IoT service chain modeling and evaluation, the trade-off between resource allocation, energy efficiency and performance, and the resource dynamics.

In this article, we address issues in modeling resource and energy utilization for IoT applications in edge-cloud environments. A smart traffic monitoring IP camera system is deployed as a use case for a realistic modeling of a service chain. The system is implemented in our testbed, which is designed and developed specifically to model and investigate the resource and energy utilization of SFC embedding strategies. A resource and energy-aware SFC strategy in the edge-cloud environment for IoT applications is then proposed. Our algorithm is able to cope with dynamic load and resource situations emerging from dynamic SFC requests. The strategy is evaluated systematically in terms of acceptance ratio of SFC requests, resource efficiency and utilization, power consumption, and VNF migrations depending on the offered system load. Results show that our strategy outperforms some existing approaches in terms of resource and energy efficiency, thus it overcomes the relevant challenges from practice and meets the demands of IoT applications.

Index Terms-Resource and Energy-aware Service Chain Embedding (RE-SCE), edge - cloud computing, Network Function Virtualization (NFV), Internet of Things (IoT) applications, smart

I. Introduction

The Internet of Things (IoT) has evolved rapidly in the recent years, as it has birthed immense opportunities for the transition from conventional economy to digital economy. As an intrinsically distributed data-centric system, IoT can collect and provide an enormous volume of data that can be processed, analyzed, interpreted, and converted into useful information and knowledge. Thus, IoT facilitates the creation of new knowledge-based, intelligent service offerings such as production [1], machinery [2], automotive [3], agriculture [4], environment [5], healthcare [6], energy management [7], and even wireless power transfer to inductively transfer power to devices [8].

In the context of IoT-based applications, cloud computing plays a crucial role. As reported by Kaur [9], nearly 50 billion IoT devices would be connected to the Internet by 2020, which would create a billion of terabytes that have to be processed only on the cloud infrastructure in a decentralized manner. Moreover, the cloud computing paradigm allows virtualization of physical resources, such as computing power and storage and network infrastructure, thereby facilitating a dynamic, scalable, and flexible "pay-as-you-go" provisioning of services that greatly reduces the Capital Expenditure (CAPEX), as well as the Operational Expenditure (OPEX); moreover, it allows changing the way businesses are conducted by decoupling the service providers from the infrastructure providers.

However, recent implementation of cloud-based IoT systems shows that the conventional remote cloud infrastructure with large-sized distributed Data Centers (DCs) located in the core, and the IoT devices collecting data locating at the edge of the network will run into a series of difficulties as the follows:

- Latency: Recent technological advances such as smart grid, healthcare, and AI-integrated systems require data to be processed and presented in real-time. This stringent requirement for Quality of Service (QoS) and Quality of Experience (QoE) can hardly be met in the conventional cloud scenarios because of the high latency due to limited transmission bandwidth and increased networking heterogeneity.
- Resource allocation: As data have to be generally transferred from the edge devices to the remote data centers, it is required that resources, including network bandwidth, storage, and computing power, are allocated along the edge-to-cloud path, which could possibly be bottlenecked, especially at the core network, considering the volume and velocity of the data expected would be generated by the IoT devices in the future.
- Energy consumption: Recent surveys have shown that energy consumption in data centers remarkably contributes to the total energy consumption of the cloud due to a large number of high-performance servers deployed, which considerably contributes to its operational costs [10], [11]. In contrast, IoT devices at the edge are normally based on energy-efficient embedded platforms. Thus, a combination of the edge-cloud environment would be a promising solution for better energy-efficiency.

Privacy: Transferring the data from the edge to the core
of the network through different ISPs, heterogeneous
networking environments, as well as network components
may pose security risks for sensitive data. Thus, for some
applications, it is preferable that the data remains within
the owner's network and is processed locally at the edge.

Fog computing was introduced by Cisco in 2012 [12]. Together with Edge computing, these concepts emerged in the recent years in order to tackle the aforementioned problems. Its architecture is based on the concepts of cloud close to the ground in terms of distribution of computing power and storage capacity to the edge of the network. This can be achieved by leveraging the device-to-device connection supported by the edge devices in the form of a cluster of computing machines, or a small-scale data center. Distributing workload to the network edge, such as by pre-processing raw data, helps in reducing backbone network traffic and end-to-end latency, thus improving the overall system performance. Besides that, keeping functions that process private data out of public cloud also secures privacy for users.

To achieve such vision, several architectures for edge-cloud have been proposed in previous studies, for instance, Cloudlet [13], Mobile Edge Computing, or Multi-access Edge Computing (MEC) [14], whose results outperform systems that involve only centralized data centers. Although these models show clear benefits as compared with the conventional centralized, data center-based cloud, the edge computing model has it own disadvantages: (1) *limited resources* at the edge in terms of storage, computing power, and energy; and (2) *high complexity* in terms of service deployment and management, as the edge devices are heterogeneous in terms of both hardware and software. Thus, edge computing is often proposed as a supplement to cloud computing, so that the combination of edge and cloud computing would effectively provide a trade-off between pure edge and cloud paradigms.

To fully utilize the advantages of the edge-cloud paradigm, Network Function Virtualization (NFV) [15] has emerged as a promising technology, as it allows network services to be virtualized. Owing to such virtualization techniques as Virtual Machine (VM) or container, NFV gives rise to the concept of Virtual Network Function (VNF), a piece of software running on commodity and general hardware and responsible for handling specific network functions. By applying the edgecloud model, VNFs can be instantiated in edge devices or in servers located in data centers; they can be migrated to other machines as an offloading method for better performance and resource efficiency. VNFs can also be chained together to form a Service Function Chain (SFC) [16], [17]. In such a chain, typically, each VNF executes a certain function and all VNFs must be processed in a specific order. Software Defined Networking (SDN) [16], on the other hand, can handle the configuration and control and steer the traffic through each VNF to form an SFC seamlessly as per the user's demand. The programmability characteristic of SDN is highly suitable for synergizing with NFV, as it could greatly reduce the costs and increase the flexibility of the VNFs in the edge-cloud.

The IoT service in the edge-cloud environment has attracted attention from the academia, as well as the industry

recently [18]. On the one hand, it is the perfect solution to the aforementioned problems. On the other hand, there remain challenges such as IoT service chain modeling, resource allocation vs. performance, energy efficiency, and so forth. This article addresses the deployment of IoT applications over the SDN/NFV-enabled edge–cloud model with the following contributions:

- An edge-cloud framework for IoT applications with testbed based on OpenStack [19] and Kubernetes [20] is proposed. Through its open interfaces, the testbed was used as an environment for IoT SFC modeling, as well as prototyping, implementing, and testing of SFC embedding algorithms.
- The impact of a service chain on different key performance measures of an edge-cloud system, such as resource and energy consumption has been investigated and modeled. A traffic monitoring IP camera system for smart city was taken into account as a use case for a realistic modeling. The traffic monitoring camera system was selected on purpose due to its high requirements in terms of resources and the high dynamics related to the variation in traffic flow and traffic environment [21].
- Based on the developed models, a heuristic resource and energy-efficient SFC embedding strategy called the Resource and Energy Efficient Service Chain embedding (RE-SCE) has been designed for edge-cloud IoT environments. Evaluation results show that our approach outperforms some of the existing systems in terms of SFC request's acceptance ratio, resource utilization, as well as energy consumption.

The rest of this article is organized as follows: Section II addresses previous work on edge-cloud deployment in IoT, as well as energy and resource efficient SFC embedding mechanisms; in Section III, the research challenges and objectives have been discussed; next, a service architecture for resource provisioning in the edge-cloud environment and its testbed deployment have been discussed in Section IV; in this section, different test cases are also addressed to measure the performance parameters of the edge-cloud system. In Section V system modeling is addressed, while Section VI proposes a new SFC embedding strategy, including VNF offloading, VNF mapping, and virtual link mapping algorithms. Section VII discusses performance results and Section VIII concludes the work.

II. RELATED WORK

Recent advances on the edge-cloud interplay for IoT applications have been discussed in previous literature. As covered by a special issue of the IEEE Internet of Things Journal [22], there are several recent research aspects that draw attention from the industry and academia as the follows:

- Firstly, it is the realization of novel edge-cloud architectures that can facilitate a flexible and dynamic IoT service deployment of the edge-cloud infrastructure.
- Based on the IoT system architecture, advanced SFC placement and resource allocation strategies are another

aspect of research interest, which closely relates to advanced *SFC embedding algorithms* that satisfy *multi-criteria embedding objectives*, such as QoS, resource, and energy efficiency.

- Use cases for IoT applications, such as healthcare, transportation, smart city, finance are also of interest.
- Finally, security and privacy are also a focus, which addresses approaches to protect the edge-cloud IoT system from different kinds of attacks and prevent privacy leakage.

The scope of this work covers the first, second, and third research aspects mentioned above. In this section, the implementation of the edge—cloud paradigm for IoT has been discussed first. Background information, concepts, and typical previous work have been discussed as a basis for understanding this research. We have then introduced the current state-of-the-art edge—cloud architectures that involve SDN and NFV. Secondly, we conduct an intensive survey in the field of SFC placement's algorithm whose goals are multi-criteria embedding objectives in general and resource efficiency, particularly energy efficiency. We focused on two methods of the SFC placement algorithm, namely offloading and mapping strategies. Table I provides a taxonomy and classification of the related work and highlights the contribution of our work.

A. Edge-cloud architectures in support of IoT services

1) Edge-cloud architectures in general: As stated in the first section, edge-cloud model helps to troubleshoot the problems incurred from using the conventional cloud model or edge model for IoT applications. To this end, it has attracted much attention of both academia and industry in the recent years. There are several well-known edge-cloud models such as mobile edge computing (MEC) [14]. MEC was introduced by the European Telecommunications Standards Institute (ETSI) in 2014, and it primarily focused on a specific area of mobile networks; ETSI has also identified IoT as one of the key use cases of MEC. In this model, MEC servers can be deployed at edge sites such as Long Term Evolution (LTE) macro base stations (eNodeB) to support workload from end-user devices. On the other hand, Cloudlet model [13], a project of Carnegie Mellon University, does not co-locate with base station, but its reinforcement resources for end-user is presented as a small "data center in a box" close to the user's devices. Cloudlet's design enable it to work in Wi-Fi environment. Nastic et al. [23] introduced the concept of software-defined IoT units – a novel approach to IoT cloud computing that encapsulates fine-grained IoT resources and IoT capabilities in well-defined APIs to provide a unified view on accessing, configuring, and operating IoT cloud systems, thereby simplifying the provisioning and enabling flexible runtime customizations of software-defined IoT cloud systems.

There are currently various open-source platforms such as OpenStack [19] and CloudStack [24] that help operators to implement the aforementioned edge-cloud concepts. For instance, the Cloudlet model was implemented as OpenStack's extension named "OpenStack++" [25], which was also used to build applications such as "GigaSight," "QuiltView," and

"Gabriel." In this model, edge devices can flexibly ask the Cloudlet infrastructure to launch VMs for computing power, and VMs can be created and discarded dynamically. However, it is challenging to implement the above platforms in the edge—cloud environment, where the distributed edge devices have limited computational and storage resources. Kubernetes [20], on the other hand, has been adopted to deploy IoT services in edge devices in the form of a container, which has a lower resource's footprint than that of VM. With Kubernetes, operators can deploy IoT applications in a set of smaller services called microservices, which have many advantages over traditional IoT applications. Microservices are complementary to edge—cloud architecture, as it allows IoT applications to be distributed among different locations.

Recently, the academia has seen a research trend exploring a new computing model named serverless computing. Serverless can be seen as a sub-set of microservices that focuses more on lightweight services composed of stateless/lambda functions. This type of function, usually a small piece of code, performs specific tasks such as image pre-processing. It does not store the function state in memory to limit resource usage, as its concept favors IoT applications. Therefore, these functions are usually nested inside containers rather than VMs. Serverless provides an even more dynamic capability in delivering services than the conventional microservice, as it only operates whenever a specific task is "triggered." This behavior promises increasing resource efficiency for infrastructure providers and provides more elastically billing methods for IoT developers. The serverless platform approach has been adopted by Amazon for AWS Lambda and by Google for Google Cloud Function. The same applies for Azure Functions of Microsoft and IBM OpenWhisk. However, a standard architecture and special workflow need to be identified for serverless computing [26].

2) Edge-cloud interplay based on SDN and NFV for next-generation IoT applications: The provision of services in the edge-cloud paradigm gives rise to the concept of Network Function Virtualization (NFV), in which a service is defined as a Service Function Chain (SFC), including several Virtual Network Functions (VNF). A VNF is a piece of software that can be instantiated on a commodity server based on virtualization techniques, such as virtual machine or container. Thus, the NFV technology allows IoT services to be virtualized and deployed flexibly and dynamically on the edge-cloud infrastructure in an on-demand manner. The process of creating an SFC is referred to as service function chaining.

Cziva et al. [27] have proposed GLANF, a container-based NFV for SDN framework. In the study, a GLANF agent daemon is implemented in commodity servers to communicate with Docker and other machines. The CORD architecture (Central Office Re-architected as a Datacenter) [28] has several solutions such as M-CORD and R-CORD for SDN/NFV-enabled edge—cloud environment similar to the one provided in this paper. However, these models focus more on MEC and its related access technologies to minimize service latency, CAPEX and OPEX. However, the energy-efficiency aspect is not discussed. Moreover, CORD's solutions and documentation are not yet fully developed, which complicates the designing of a testbed for specific use case. For the same

reason, a fully open API to integrate different SFC embedding algorithms is unidentified in CORD. Therefore, we utilized our own implementation of the overall architecture in this work. One of our key contributions is the proposed Resource and Energy-aware Service Chain Embedding (RE-SCE) algorithm, which can also be executed within [28].

The SDEC architecture (Software-Defined Edge Computing) [29] offers an open IoT system architecture based on software-defined edge computing. It decouples upper level IoT applications from the underlying physical edge resources and builds dynamically reconfigurable smart edge services. The automated provisioning of IoT applications over cloud and fog resources is the key concept of [30], offering an IoT Platform-as-a-Service for NFV-based hybrid cloud/fog systems. A different aspect has been considered in [31], where an auction-based MEC-IoT resource trading market is proposed. The market allows the IoT devices to offload their tasks to MECs for better performance in terms of shorter response times. For the edge computing resource allocation, a reinforcement learning- and belief learning-based double auction mechanism is developed.

Up to now, most architectures with NFV and SDN are designed on Openstack and Kubernetes, which provides a strong foundation for orchestrating virtual infrastructure. From both academia and industry, operators can implement their own project or use third-party open projects such as Open-MANO [32], OPNFV [33], and ONOS [34] to leverage their legacy system to SDN-NFV-based edge-cloud architecture. However, due to the variation of edge-cloud models on specific user cases, a standard architecture for the concept is undetermined at the moment.

B. Next generation IoT service provisioning based on SDN and NFV

In this work, provisioning IoT services on the SDN/NFVbased edge-cloud infrastructure is formulated as the SFC embedding problem, which is closely related to multi-component application placement [35], virtual network embedding [36], or virtual data center embedding [37]. In general, SFC embedding deals with mapping VNF requests in the edge-cloud substrate and connecting them together to found an SFC. SFC embedding can be reduced to two well-known \mathcal{NP} -hard optimization problems – the facility location problem and the Generalized Assignment Problem (GAP). Therefore, the SFC embedding problem is \mathcal{NP} -hard, too [38]. SFC embedding can further be formulated as an optimization problem with particular objectives such as efficient resource utilization or minimal energy consumption. Methods for these objectives roughly fall into two categories, namely (1) offloading strategies, which deal with deciding if an VNF or an SFC is placed in the edge or offloaded to the cloud, and (2) mapping strategies that choose the exact location of the VNF or SFC in physical machines located in the edge or data center. An efficient SFC embedding is generally the combination of both offloading and mapping strategies, as they can balance the resource, energy, as well as other optimization objectives when embedding VNFs at the edge or in the cloud. Existing work on offloading and mapping has been addressed below.

1) Offloading strategies for efficient IoT service provisioning: As for offloading strategies, Jemaa et al. [39] introduce a heuristic approach called Baseline (BL). The algorithm basically tries to place every VNF at the edge tier, and offloading only occurs when the edge runs out of resources. Zhao et al. [40] proposed the SFC Mapping Algorithm for Classifying and Combining Homogeneous SFCs (SFCCM) algorithm that focuses on solving live-streaming video requests by combining similar requests and mapping them into nodes (edges or servers) that have the minimum cost in terms of processing and bandwidth unit. By assuming that the costs at the edge are generally lower than that of in the cloud, SFCCM tends to allocate resources for SFC requests at the edge tier. These two papers and some other research dealing with offloading strategies suggest that placing as many VNFs as possible at the edge may result in a good performance in terms of reducing complexity, latency, or cost, when compared with that of cloud. However, choosing the minimum cost without balancing edge-cloud resource allocation in several cases will also limit the number of accepted chains in the system as, the "less-expensive" resource might always be preferred, leading to resource shortage for future SFC requests. Moreover, the strategies mentioned above usually do not deal with mapping the requests on specific, precise cloud physical servers or embedded computers in a topological physical substrate.

In [41], the authors presented a joint optimal offloading strategy for large-scale IoT maritime communication combined with mobile edge computing to provide efficient computing capability. The trade-off between latency and energy consumption is addressed by proposing a two-stage joint optimal offloading algorithm. The two stages deal on the one hand with the optimization of the computational effort, and on the other hand, with the allocation of communication resources with limited energy and sensitive latency.

An efficient offloading of SFCs with dynamic VNF placement in geo-distributed cloud systems has been provided in [42]. Further, an optimization problem has also been formulated that minimizes the embedding costs and the number of placed VNF instances. Moreover, the SFC eMbedding APproach (SFC-MAP) and VNF Dynamic Release Algorithm (VNF-DRA) have been proposed. The performance evaluation results indicate a high SFC request acceptance rate, high network throughput, and high VNF utilization. Nevertheless, mapping has not been addressed, and an evaluation of the energy efficiency is missing.

2) Mapping strategies for efficient IoT service provisioning: With respect to mapping strategies, recent research has developed mapping algorithms based on solutions for the bin-packing problem. Most of them follow the concept of First Fit algorithm [43], in which the requests are packed in a smallest number of physical resources so that resource utilization is optimized, and the physical resources can accommodate a maximum number of requests. Regarding optimal solution, Dinh-Xuan et al. [44] formulated the SFC placement as an ILP problem with objectives to minimize service response time and resource utilization. The problem was then solved with OPLmodel in a network of multiple user DCs (edge) or DCs (cloud). The work assumed that all physical links

within a data center have infinite bandwidth and zero delay, which is not practical in real environments. On the other hand, Eramo et al. [45] proposed a heuristic algorithm with the goal of maximizing the accepted SFC requests. An online algorithm and the design of an SFC scheduling architecture have been proposed to deal with SFC requests arriving in an online manner. The basic principle of the work is to map SFC requests on least loaded physical servers and links in the edge-cloud to maximize the acceptance ratio of the requests. The algorithm's objective that balances bandwidth usage does not consider the edge-cloud mapping trade-off to optimize the resources and energy consumption. To the best of our knowledge, until now, there is little research focusing on the dynamic resource problems, in which SFC requests join and leave the system dynamically, which could lead to the resource fragmentation problem [37] that reduces the system resource utilization. To mitigate this problem, many online systems adopt consolidation technique that migrates VNFs from low-utilized servers to other machines to optimize resources and energy. However, consolidation VNFs without a proper strategy may degrade the OoS/OoE of the SFC, especially throughput, from 12.36% to even 50.30% [46], [47]. The behavior is called VNF interference. The reason behind this is the competition between VM/containers for network I/O bandwidth. Zhang et al. [47] suggested in their algorithm that VNFs should be consolidated into the hosts that still have large available throughput.

The authors of [48] considered also the dynamics of such a system into account in their approach. An efficient deployment of VNFs cannot be based on a rigid placement. It is important to consider the dynamics of the system when many small devices such as IoT devices communicate with each other under energy efficiency constraints. The authors proposed an energy-aware routing and adaptive delayed shutdown mechanism for improving the substrate network's energy efficiency and the delay experience of virtual network functions.

C. Multi-criteria objectives in SFC embedding

1) Optimal SFC embedding with multiple objectives: There exists a multi-objective optimization challenge in network deployment and operation. Upon receiving an SFC request, the network should embed the request based on several criteria such as cost, network resources, QoS, energy consumption, and so forth. This poses a challenge in placing the SFC optimally on the physical edge–cloud substrate so that these embedding objectives are met. Moreover, as SFCs join and leave the edge–cloud system dynamically, resources in terms of server capacity, available link bandwidth may become fragmented. Thus, SFC embedding algorithms should cope with the degradation of system utilization.

NFVdeep [49] proposed an adaptive online service function chain deployment with deep reinforcement learning. The optimization goal was to minimize the operation cost of NFV providers and maximize the total throughput of requests while automatically deploying SFCs for requests with different QoS requirements. An efficient path computation for SFC requests was also developed with deep learning by [50], which resulted

in high performance in terms of the SFC acceptance rates and the delay of paths. However, both concepts [49], [50] were proposed for NFV in general without considering the characteristics of the edge-cloud interplay and the constraints of edge nodes. In [51], the authors discussed multi-attribute decision making of the mobile edge computing migration strategy based on NFV and SDN techniques. In the end, three sets of simulation experiments were carried out based on MATLAB to validate their multi-attribute decision making strategy with migrations in MEC. The work [52] additionally considered the service chain of such a mobile edge computing use case. Based on actual business needs, multiple VNFs were grouped into SFCs in a predefined order. The authors propose a breadth-first search-based algorithm for efficient SFC provisioning and improving the overall utilization of the physical network. In [53], the authors constructed a delaysensitive priority-aware offloading strategy for scheduling and processing the tasks based on multilevel feedback queuing. The authors in [54] also considered latency as one of their objectives besides resource efficiency. They formulated these objectives as a mixed integer linear programming problem (MILP) and propose a heuristic algorithm with a near optimal result. The algorithm aimed to reuse the deployed VNFs and select the VNF path with the most reused VNFs to save system's resources. However, the algorithm was designed for offline system. Thus, the acceptance ratio was not within the evaluation scope as it is in this work. Besides, their work focused on VNF deployment amongst distributed small data centers placed at the edge, while our work considers edgecloud environment in which a VNF can be deployed in a server or edge embedded system with different resource profiles suitable for IoT systems.

2) Solutions towards resource and energy efficient IoT service provisioning: Among various objectives of SFC embedding, resource and energy efficiency are of interest to this research work.

Resource efficiency is achieved through the smart placement of application functions in different locations of the edgecloud infrastructure. Recent advances in embedding virtual networks for NFV can be attributed to the use of machine learning-based approaches. The key idea of Deepvine [55] is to encode physical and virtual networks as two-dimensional images, which are then perceivable by a convolutional deep neural network. Quang et al. [56] provided a deep reinforcement learning approach for VNF Forwarding Graph Embedding. Jianing et al. [57] aimed at an optimal VNF placement in SDN/NFV-enabled networks, which is realized by employing deep reinforcement learning. Other heuristic solutions of the underlying optimization problem of VNF placement utilize graphs and graph metrics such as the betweenness centrality algorithm for component orchestration of NFV platforms (BACON) for small- and large-scale DC networks in [58]. However, the focus is neither on SFC nor on the characteristics of the edge-cloud interplay.

Another issue in the edge-cloud paradigm is the wasted *energy* of edge-cloud systems while performing resource allocation for IoT service functions. Even if IoT devices are energy efficient in individual cases, the consumption ratio of the

overall application use cases with many devices is high, taking into account the total number of devices and the required service life time [59]. The energy efficiency of different parts of IoT use cases could be improved with proper mechanisms. This includes (1) energy-efficient access technologies and access mechanisms of sensor devices like proper device sleep schedules; (2) energy-efficient communication protocols also exploiting recent technological advances like device-to-device communications [60] [61]; as well as (3) green cloud computing and data center technology for power savings in the edgecloud. An overview of recent advances on greening IoT for IoT and smart city applications is provided in [62]. Irida and Mattias in [63] investigate the effects of different application partitioning scenarios on edge device energy consumption and application latency in the edge-cloud environment. On the one hand, the results are similar to the modeling results we present in this paper showing that different placement strategy yields different power consumption and responding time. To meet design constraints, a smart partitioning strategy is required in the application development process. On the other hand, the work does not consider NFV aspects, which leverages IoT deployment's agility and flexibility.

Particularly, upon provisioning IoT services in edge–cloud environments, the workload might be fragmentarily distributed all over the computing machines without an efficient allocation and consolidation methods. In case of data center, this scenario could draw an energy wastage as high as 60% of the server energy consumption [64]. Moreover, more the number of servers running, more is the energy needed for cooling system, as it can take up as much as 50% of the data center energy consumption. Hence, the study of energy efficiency in cloud-involved models also contributes to the resilient, green development of the Information and Communication Technology (ICT) industry.

As stated in the Thematic Issue of the European Commission in 2015 [65], the limitation of the current approaches is the lack of a combined solution for resource and energy efficiency. Regarding the SFC embedding solutions, many previous approaches [40], [44], [66] focused on finding the placement for allocating VNF with the lowest cost. These approaches may draw more energy consumption, as more servers and network switches could be turned on, which results in as much as 45% and 15% of total data center energy consumption, respectively [67]. The authors of [68] also considered minimizing service deployment cost. In [69], the authors proposed an energy cost model and two resource allocation strategies for inter-domain NFV-enabled networks. As energy cost accounts for more than half of the total underlying network cost, it is crucial to minimize the total energy cost while keeping high mapping revenue for resource allocation. They proposed a novel and efficient mapping strategy and labeled it EERID, which can map each virtual resource among inter-domain networks within polynomial time.

D. Differentiation and taxonomy of related Work

A taxonomy of the related work on the edge-cloud interplay based on SDN and NFV for IoT applications is provided in Table I. In particular, for each paper listed in the summary table, we have mentioned clearly whether offloading and mapping mechanisms are proposed. We have also evaluated if the IoT system is capable of dealing with dynamics of SFC requests. The evaluation considers performance (acceptance rate, utilization), energy-efficiency, and VM migrations for varying loads. These features of the taxonomy are represented by the different columns in Table I. It is evident that our paper goes beyond state-of-the-art. To the best of our knowledge, it is the only work that considers offloading and mapping mechanisms for the edge–cloud IoT system, which is evaluated holistically regarding performance, energy efficiency, and overhead in terms of migrations.

III. PROBLEM FORMULATION

This section addresses research challenges in edge-cloud use cases that offer IoT services. We have described a traffic monitoring IP camera system in the context of smart city. The system is used as a specific use case and can be considered a typical edge-cloud IoT system, in which energy consumption and resource utilization are two important performance factors. Based on the system, specific technical issues that will be solved using the framework of this research are to be addressed.

A. Challenges in providing IoT services in edge-cloud environments

Providing IoT services in edge-cloud environments based on NFV/SDN has attracted attention of the research and industrial community recently [70] owing to the challenges it poses:

- Resource allocation, energy efficiency vs. performance: An issue that arises when creating an SFC is how to embed the service chain on top of the edge-cloud physical substrate so that the physical resources are efficiently utilized, while keeping the energy consumption of the system as low as possible and the QoS performance of the network service optimal. This issue is related to the distribution of the VNFs over different hosts, which is known as the VNF placement problem [71]. Notably, the performance and efficient resource utilization and energy consumption can hardly be achieved at the same time.
- Resource dynamics: In reality, SFC requests can arrive in
 the system and leave dynamically on demand according
 to the pay-as-you-go service model in edge-cloud. This
 poses a challenge as to how to develop mechanisms that
 can optimally and dynamically reallocate resources, while
 maintaining low complexity of network operations.
- Modeling and evaluation of SFC embedding in edgecloud: In order to design and evaluate the performance of SFC embedding algorithms, the behaviors of the edgecloud infrastructure in terms of QoS, resource utilization, energy consumption, etc. should be known. That is, measurement and modeling in edge-cloud should be performed. So far, very little is available in the literature in this regard.

TABLE I: Taxonomy of work related to the edge-cloud interplay based on SDN and NFV for IoT applications

| Paper | Edge-Cloud | Offloading | Mapping | Performance | Energy | Dynamics | Migrations | Testbed | Use Case |
|--|--------------|--------------|--------------|-------------|----------|--------------|----------------|----------|------------------------------|
| Openstack++ [25] | V | ✓ | | ~ | | ✓ | ✓ | ~ | Interactive mobile apps |
| | | | | | | | | , | and services (cloudlets) |
| Software-Defined IoT [23] | _ | _ | _ | _ | _ | ~ | _ | ~ | IoT cloud systems |
| Container-based | _ | ~ | _ | ✓ | _ | _ | _ | / | Network functions |
| NFs [27] | | | | | | | | , | (NFs) |
| DeepViNE [55] | _ | _ | ~ | ✓ | _ | / | _ | — | Virtual network embedding |
| VNF placement [57] | _ | 1 | _ | / | _ | V | _ | _ | NFV networks |
| Virtual network embed- | _ | / | 1 | ✓ | 1 | V | / | _ | Virtual network |
| ding: a survey [36] | | | | | | | | | embedding |
| Energy-efficient net- | _ | / | 1 | ✓ | V | ~ | _ | _ | Virtual network |
| work embedding [37] | | | | | | | | | embedding |
| Baseline Offloading [39] | | / | _ | | _ | — | _ | — | QoS-aware VNF |
| SFC placement [44] | ' | ✓ | 1 | / | _ | — | l - | — | General cloud services |
| VNF interference [46] | — | _ | _ | ~ | _ | — | ✓ | ✓ | QoS-aware VNF |
| Y | / | | / | / | | | / | | consolidation |
| Interference-aware VNF placement [47] | • | _ | V | " | _ | — | <i>\\</i> | _ | 5G network slices |
| SFCCM Offloading[40] | V | / | | V | | | | _ | edge-cloud services |
| Geo-distributed Cloud [42] | 1 | / | ~ | / | ~ | _ | | | edge-cloud SFC |
| Least Loaded Mapping [45] | 1 | | / | 1 | _ | ~ | | | SFC NFV |
| CORD [28] | 1 | | _ | 1 | | _ | | / | Telco network |
| CORD [20] | | | | | | | | | infrastructure |
| Software-defined edge | ✓ | 1 | 1 | ✓ | _ | l — | _ | _ | IoT cloud systems |
| Computing [29] | | | | | | | | | _ |
| IoT PaaS [30] | / | / | ✓ | ✓ | _ | ' | l — | ✓ | NFV networks |
| NFVdeep [49] | _ | l — | 1 | V | _ | V | l — | — | Network services |
| Multi-task deep [50] | - | V | ✓ | / | <u> </u> | ' | _ | _ | VNF chains |
| Maritime IoT [41] | ' | ~ | - | / | 1 | | _ | _ | Maritime |
| EAR-ADS [48] | — | _ | / | / | / | / | _ | _ | Delay-sensitive |
| | | | | | | | | | applications |
| Multi-attribute MEC [51] | / | - | ' | / | / | / | / | — | IoT services |
| Breadth-first search [52] | _ | ' | ~ | / | _ | ~ | _ | — | VNF SFCs |
| DPTO [53] | • | / | <u> </u> | / | _ | — | _ | _ | |
| CDFSA-PGA [54] | - | | - | / | _ | - | _ | _ | MEC services |
| BACON [58] | _ | / | ' | V | _ | / | _ | _ | NFV networks |
| Cost-efficient VNF orchestration [68] | | ' | _ | / | ✓ | ' | _ | _ | Cross-edge SFCs |
| EERID [69] | _ | _ | 1 | ~ | 1 | ✓ | _ | _ | Inter-Domain networks |
| Our proposed | ~ | ✓ | V | ~ | ~ | V | ✓ | 1 | Smart traffic |
| solution | | | | | | | | | monitoring |

B. Motivating use case

A smart traffic monitoring IP camera system was used as a case study to model an edge-cloud IoT system. The IP camera system was originally developed in a previous work of Phuc et al. [72]. The design of the system stems from a requirement to get traffic information in busy Asian cities such as Hanoi, where traffic congestion usually occurs, especially during the peak hours. The original IP camera system can detect traffic condition of the road in real-time by processing motion JPEG pictures. Instead of sending the pictures to a central server located in the cloud that could require a high performance server system, as well as high network throughput with high deployment cost, the system makes use of a low cost ARMbased Raspberry Pi (RPi) residing near the camera to process the pictures. It can deliver four traffic Levels of Service (LOS) over a low bandwidth transmission line to a central application server, namely (1) free flow, (2) stable flow, (3) unstable flow; and (4) breakdown flow.

In this research, we have further assumed that this smart traffic detection system can be deployed in a big city for traffic

monitoring by installing IP cameras in many intersections. The massive deployment of thousands of IP cameras with smart processing units at the edge is a typical IoT application, where the edge-cloud implementation could be beneficial. On the one hand, as the resources at the edge are limited, a combined resource allocation strategy in both edge and cloud that allows tasks to be offloaded to the cloud can help increase the overall system utilization. On the other hand, due to the fact that the centralized data centers with computing-intensive applications consume a lot of energy [10], [11], and sending heavy data to the central cloud can be costly, placing some tasks at the edge can reduce the system energy consumption, as well as bandwidth requirement, thereby reducing operational costs. Moreover, we find that energy and resource profiling is essential for developing realistic service chain embedding algorithms. However, resource and energy profiling and modeling of a real system is difficult to find in the literature. Thus, in our research, a system's testbed has been developed to measure, profile, and model resource and energy consumption.

In order to deploy the service in the edge-cloud system, the traffic monitoring software is divided into four different

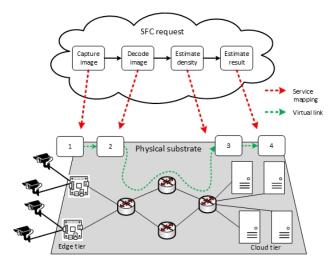


Fig. 1: Traffic monitoring IP camera system as SFC distributed over edge-cloud architecture.

functions, which can be placed in different locations:

- (1) Capturing (Cap): connected directly to the camera and gets pictures at six frames per second, with a resolution of 640 × 480. This function solely runs on the Embedded Computer (EC) and sends captured images to the Decoding block.
- (2) Decoding (Dec): transforms received images into gray scale images, sets some initial parameters, and sends the initial parameters and gray images to the Density Estimation block. This function can be placed at the edge or in the cloud.
- (3) Density Estimation (Des): makes use of the initial parameters and the next gray images to calculate the traffic density and sends the information to the LOS decision block on the server. This function can reside either at the edge or in the cloud.
- (4) Levels of Service (LOS) decision: receives information sent from the Density Estimation and decides whether the traffic is congested or not. This function solely runs on the central server in the cloud.

These four functions must be performed in order. As depicted in Fig. 1, by modularizing the IoT service, the IoT functions can now be formed as the *SFC* and be distributed over the edge-cloud infrastructure.

C. Objectives

Based on the above motivations, the objectives of this work are as the follows:

- Resource efficiency of the physical edge-cloud substrate should be improved in the sense that the overall utilization can be increased. Thus, more SFCs can be served using limited physical resources.
- Energy efficiency must be improved, that is, the overall energy consumption should be proportional to the system utilization. This is called the system's energy proportional property, as shown in Fig 2, that is, the energy consumption in a low utilization scenario should be much

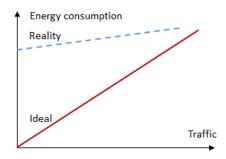


Fig. 2: Ideal energy proportional property.

lower than in a case of high utilization. In other words, the average energy consumption per SFC should be kept ideally constant, independent of the system's utilization. In this research, the energy consumption should be in proportional to the traffic intensity of vehicles on the roads.

Resource dynamics is the ability to reallocate resources at
the edge or in the cloud dynamically, as SFC requests join
and leave the system over time. Thus, the SFC embedding
algorithm must be performed periodically to maintain a
high system resource utilization. As a consequence, VNFs
shall be consolidated in other machines as a result of SFC
remapping. VNF re-location might happen often, which
poses a challenge in the implementation. Thus, in any
approach, the implementation complexity should be taken
into account.

IV. SYSTEM ARCHITECTURE, TESTBED AND MEASUREMENT

A. System architecture

Fig. 3 represents the developed architecture of the edgecloud framework for providing IoT services proposed in this work. The architecture uses two open-source platforms, namely OpenStack [19] and Kubernetes [20] as its core. In our framework, the infrastructure, including computing, storage, and network resources, are managed by OpenStack's basic modules, namely Nova, Cinder, and Neutron. The VNF Manager (VNFM) and NFV Orchestrator (NFVO) are partly composed of Kubernetes controllers and OpenStack modules' controllers. Container and hypervisor are the two widely-used virtualization technologies in commercial computing systems. While some public clouds such as Terremark and Amazon Web Service (AWS) use either ESXi Hypervisor or XEN Hypervisor, which have gained tremendous popularity. Kernel Virtual Machine (KVM), another hypervisor technology is trusted by OpenStack, Microsoft Azure, and its private cloud. On the other hand, Google, IBM/Softlayer, and Jonent are typical examples of successful public cloud platforms using containers. In containerization technology, applications share the same OS, and therefore the deployment sizes and processing time are much less than that of hypervisor. Because of its light weight deployment, containers can run on devices with limited hardware resources such as RPi, Beaglebone, or

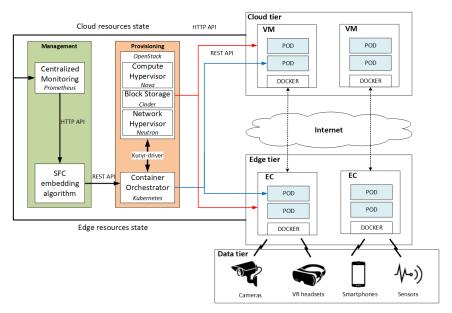


Fig. 3: Overall architecture of three-tier traffic camera system.

other common embedded platforms. In this research work, to take the advantages of containers, we implemented container-based VNFs in both edge tier and cloud tier. The components of the framework are described in the order from left to right as follows:

- The Management block is responsible for monitoring the system states, receiving SFC requests from outside, and performing SFC embedding strategy based on the developed SFC embedding algorithms. It contains two components. The Centralized Monitoring (CM) component manages network resource states and is implemented using the Prometheus [73] project. Prometheus is also installed in the computing units (virtual machines or embedded computers) for gathering necessary metrics such as CPU usage, bandwidth usage, and RAM usage. These information are sent to the CM host in the cloud via HTTP API. The other block performs SFC embedding algorithms for VNF provisioning. Different SFC embedding strategies can be integrated and tested via the testbed open API. When an SFC request arrives, locations of the incoming VNFs are decided using SFC embedding algorithms based on current system state information. A template is then created and sent to the Container Orchestrator in the next block.
- The *Provisioning* block takes charge of creating VNFs, VNF networks, and the provisioning container-based VNFs to the computing units following the template from the Management block. The block consists of Network Hypervisor (NH) and Container Orchestrator (CO). While NH is managed by Neutron, which is responsible for provisioning a unified network among computing units, CO is a Kubernetes controller implemented by Magnum [74] that reads the deployment template from the previous block and deploys VNFs to the Kubernetes nodes. Since

- Neutron is used as the hypervisor for container networking, Kuryr-Kubernetes [75] is required to make the communication between Neutron and CO block feasible.
- The Cloud tier and Edge tier blocks contain the computing units where VNFs are deployed. At the Cloud tier, VMs play the role of computing units and host VNF's containers, whereas physical EC directly host virtual containers for VNFs at the Edge tier. ECs also take charge of the communication with data collection devices such as camera and sensor. The computing units are initiated in Kubernetes environment and Docker container runtime. Hence, they serve as the Kubernetes worker nodes in the system. VNFs are provisioned on the computing units in the form of Kubernetes pod. The pod networking is transparent and is managed by Neutron controller because of the Kuryr driver.

It is noted that the proposed architecture can be generalized to be used for other IoT applications and is not necessarily specific to the context of this research.

B. Testbed and measurement results

A testbed based on the conceptual architecture shown in Fig. 3 was built for the measurement and modeling of SFC deployment in the edge-cloud infrastructure. Table II shows the testbed configurations. As can be seen, the testbed was set up using an RPi 2B for the edge and Dell high-performance commodity servers for the cloud tier and for the management and provisioning blocks. The energy consumption at edge was measured using a USB multimeter. Besides that, several softwares are also used as measurement and monitoring tools, such as *htop* [76] and *vnstat* [77] for process and bandwidth monitoring, respectively.

The operations of the SFC embedding were investigated using the testbed. VNFs were deployed at both RPi and

TABLE II: Testbed configurations

| | Hardware | Software | Description | |
|-------------------------|--|---|--|--|
| Data tier | 3 x Logitech C170 cameras Resolution: 640 x 480 Frame rate: 6fps | N/A | Capture traffic pic- tures | |
| Edge tier | Rasberry Pi 2B (RPi): 900MHz quad-core ARM Cortex-A7 CPU, 1GB RAM, 100 Base Ethernet | OS: Raspbian Stretch; Kubernetes v1.12; Container: Docker v8.09.5 | | |
| Cloud tier & controller | Dell server, 2 x Intel Xenon 5570: 2.93GHz x 4 cores, 96GB memory, 1TB storage, 4x NICs | OS: Ubuntu Server16.04 LTS; OpenStack Ocata; Kubernetes v1.12; Container: Docker v18.09.5 | SFC embedding & resource management | |
| Network devices | Cisco Linksys-X2000 router; 4 x 100Mbps NICs | N/A | Transmitting data from edge to cloud | |
| Measurement | MakerHawk USB multimeter UM25; Voltage resolution/accuracy: 0.01V/±0.2%; Current resolution/accuracy: 0.001A/±0.8% | htop, vnstat, NTP | Measure energy consumption, computing/RAM utilization, latency | |

TABLE III: Resource utilization in terms of CPU, RAM, power, and bandwidth of each VNF type running on the testbed.

| VNF type | CPU (%) | | RAM (MB) | | Power (W) | | Bandwidth(Mbps) |
|--------------------|---------|--------|----------|--------|-----------|--------|-----------------|
| VIVI type | RPi | Server | RPi | Server | RPi | Server | (B_u) |
| Idle | 1.10 | 0.10 | 107.00 | 169.00 | 1.21 | 205.10 | 0.00 |
| Capturing | 3.00 | N/A | 1.50 | N/A | 0.43* | N/A | 47.35 |
| Decoding | 8.00 | 1.50 | 8.00 | 26.21 | 0.09 | 1.67 | 16.32 |
| Density Estimation | 13.60 | 6.50 | 2.50 | 19.66 | 0.11 | 7.23 | 0.60 |
| LOS Decision | N/A | 4.96 | N/A | 13.10 | N/A | 5.52 | N/A |

^{*}Camera power included

the server, and they were chained together to form an SFC. Based on the deployment, various tests and measurements were conducted, which were divided into two sets of test cases, namely *single VNF test cases* and *combination test cases*.

Firstly, in the *single VNF test cases*, the performance parameters of the hardware infrastructure and 4 individual VNFs were conducted, including *CPU*, *RAM*, *power consumption* as well as *bandwidth* and *latency*. We conducted both test cases using the same toolset in the same environment for 10 times; each time, we recorded 50 instantaneous measuring samples. The final results are the average number of these values, as shown in Table III and discussed below.

- 1) CPU and RAM utilization: The CPU utilization in percentage of the total system computing capacity and the RAM utilization (in MB) were investigated for the embedded computer and the server in the following test cases:
 - *Idle mode*: is the state when the computer is turned on but does not run any application, that is, the computer just runs basic services of the OS. In this case, CPU utilization takes up only 1.1% and 0.1% of the total CPU in the embedded computer and the server, respectively. Moreover, RAM utilization is minimal; CPU and RAM utilization in the idle mode are the utilization of the computing hardware infrastructure without any virtual service deployed.
 - CPU and RAM utilization of VNFs: next, the CPU and RAM utilization was measured when the computer hosted one of the four VNFs in the service chain. In the RPi, a container for the corresponding VNF was instantiated directly in the OS, while for the x86 server platform, the container was embedded into a virtual machine. Note

that a VM can host a number of containers. As shown in the table, the resource utilization of the same function is different when placed at the edge or in the core. As the RPi at the edge is less powerful, CPU utilization at the edge is generally larger than that at the core. As can be seen, a server requires more RAM to run a function than the edge computer. The reason is that edge and cloud platforms have different hardware and OS. The server OS and hardware are designed to best utilize its computing power for generic purposes, while a less powerful edge embedded system gears towards resource and energy efficiency. Also, the external libraries to make the VNFs compatible with both ARM-based and x86-based processors are different.

- 2) Power consumption: The power consumption (in Watt) of the embedded computer and high performance server is then measured. It is to be noted that in this paper, energy and power consumption are used interchangeably.
 - Baseline power consumption is the basic power consumption when the computer is in the idle state. In this state, the computer consumes minimum power, as the clock operates at its minimum frequency. As can be seen in Table III, even in the idle mode, the high performance server in the core consumes much more power than the RPi.
 - Power consumption of VNFs: With the same configurations mentioned above, we measured the power consumption of the VNFs when running on different platforms. As can be seen, running a function at the core is more powerintensive than the same function running at the edge.

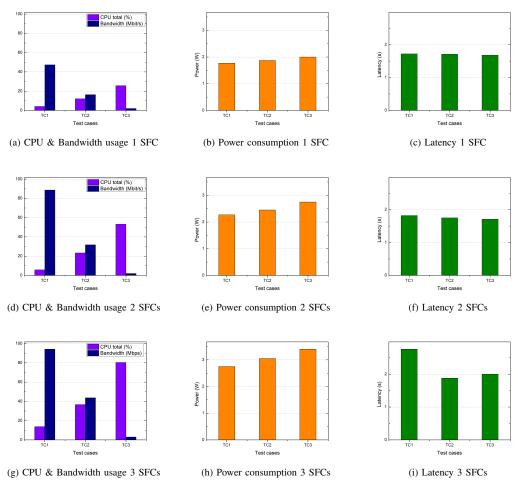


Fig. 4: Experiment result in terms of CPU, BW, Latency, and Power of the edge computer according to each test case: (TC1) Capturing at edge, the rest in cloud; (TC2) Capturing and Decoding at edge, the rest in cloud; (TC3) Capturing, Decoding and Density Estimation at edge, LOS Decision in cloud.

- 3) Required bandwidth: As the motion pictures were sent from the edge to the cloud along the service chain in the order of Capturing Decoding Density Estimation LOS Decision, we measured the bandwidth required to send the data from a function to the next one. Sending raw pictures from the Capturing function requires the maximum bandwidth, that is, 47.35Mbps, while sending the processed data from the Density Estimation to the LOS Decision requires the least, that is, 0.60Mbps.
- 4) Latency: In this work, latency has been defined as the time needed for the SFC to capture images until it can give out the Level-of-Service. Latency is highly random, depending on different factors such as channel utilization, CPU load, number of SFCs embedded in the system, and so forth (Fig. 4).

After conducting the single VNF testcases as discussed above, the second test cases to be conducted were the *combination test*. In the test setups, we composed the VNFs in service chains and instantiated them in different locations in the edge and the core. The purpose of the combination test

was to investigate the system behaviors and the impacts of the SFC embedding in terms of resource utilization, power consumption, latency, etc. when multiple SFCs are mapped on the physical substrate.

When the system receives multiple SFC embedding requests, there are different combinations of resource allocation and VNF placement depending on the SFC embedding strategies. Various scenarios have been investigated on the testbed, but only nine test cases are shown in the Fig. 4. As the edge embedded computer can host up to three SFC requests concurrently, the measurement of one SFC embedding (Fig. 4a, b, c), two SFC (Fig. 4d, e, f) embedding, and three SFC embedding (Fig. 4g, h, i) have been shown. The measurement in each figure is further categorized into (1) TC1: only the Capturing function of an SFC is located at the edge, while the others are in the cloud; (2) TC2: the Capturing and Decoding are at the edge, the other two are in the cloud; and (3) TC3: only the LOS Decision is in the cloud, while the others are at the edge.

- 5) Impact of the VNF locations on resources and performance:
 - Bandwidth vs. CPU utilization vs. power consumption:
 Fig. 4a, d, g clearly show that bandwidth and CPU
 utilization are inversely proportional to each other. Thus,
 there should be a trade-off between the highly loaded
 edge computer with computing intensive VNFs and the
 high bandwidth requirement when offloading these functions to the cloud. Also, it is obvious that placing more
 functions at the edge causes more power consumption in
 the embedded computer. However, as the server in the
 cloud consumes much more power, placing functions at
 the edge may also save the total power consumption, as
 shown later on in this article.
 - Latency: Fig. 4c, f, i show that latency is lower when Capturing, Decoding, Density Estimation are placed at the edge computer. This can be explained by the fact that latency is optimal if the image processing is placed locally near the camera, which requires intensive computing power, as well as high bandwidth between functions. The information sent to the LOS Decision needs minimal bandwidth (as shown in Table III) to reduce network delay. The only exception is shown in Fig. 4i. As can be seen, when three SFCs with three VNFs each are embedded at the RPi, the latency increases. It is because the embedded computer is overloaded with the average CPU utilization reaching 80% (Fig. 4g).

Thus, it is observed that placing more functions at the edge can reduce latency and required transmission bandwidth but may cause the edge computer to be overloaded.

6) Impact of the number of SFCs on resource and performance: It is obvious that the more SFCs with VNFs are placed in the edge computer, the more the computer is overloaded. When placing 3 SFCs with 9 VNFs at the edge, as represented in Fig. 4g, h, i (TC3), the average CPU utilization becomes as high as 80%, and the transmission bandwidth becomes saturated at around 94Mbps, which is maximum bandwidth of the Fast Ethernet interface in our experiment. This shows that the RPi cannot host more than 3 SFCs for the smart traffic detection services.

V. SYSTEM MODELING

The measurement results in Section IV have been analyzed and modeled so that they can be applied to a larger scale simulation of the system. Table IV defines notations used for the formulation.

A. SFC embedding problem

In the model, the physical substrate G^p contains three physical elements, namely N^p , ND^p , and L^p . N^p denotes the set of computing units, including the set of edge devices E^p and servers in the cloud S^p . ND^p and L^p denote the sets of physical network devices and physical links, respectively. The set of SFC requests serving active cameras in an edge device R_j consists of several requests $\{r_j^i\}$, each of which consists of other parameters, as indicated in Table IV.

TABLE IV: Notations used for system modeling

| Notation | Description |
|---|--|
| $G^p = \{N^p, \mathit{ND}^p, L^p\}$ | Physical substrate graph |
| $N^p = \{E^p, S^p\}$ | Set of edge computers and cloud servers, respectively |
| $E^p = \{e_i^p\}; i \in \Omega_e$ | Set of edge computers |
| $S^p = \{s_j^p\}; j \in \Omega_s$ | Set of servers in the cloud |
| $\mathit{ND}^p = \{n_k^p\}; k \in \Omega_n$ | Set of physical network nodes, including nodes in the core of the network and nodes in data center networks |
| $L^p = \{l_m^p\}; m \in \Omega_l$ | Set of physical links |
| $R_j = \{r_j^1, r_j^2, r_j^i, \ldots\}$ | Set of SFC requests originated from the edge computer e_j^p , thus the beginning of the SFC should be from e_i^p |
| $r^i_j = \{\mathit{VNF}^i_j, L^{V_i}_j, t^i_j, d^i_j\}$ | The i^{th} request originated from edge computer e_j^p |
| $A = \{r_1^1, r_1^2, r_j^i, \ldots\}$ | Set of SFC requests that has been mapped successfully to the system |
| $\mathit{vnf}_j^{i,k}$ | VNF type $k \in \{Cap, Dec, Des, LOS\}$ belonging to the i^{th} request originated from edge computer e_i^p |
| $\mathit{VNF}_j^i = \{\mathit{vnf}_j^{i,k}\}$ | Set of VNFs belonging to the i^{th} request originated from edge computer e_j^p |
| $L_j^{V_i} = \{l_j^{V_i}(u,v)\}$ | Set of virtual links belonging to the i^{th} request originated from edge computer e_j^p , interconnecting $vnf_i^{i,u}$ and $vnf_i^{i,v}$ |
| $l_{j}^{V_{i}}(u,v)$ | Virtual link belonging to the i^{th} request originated from edge computer e_j^p that connects two consecutive VNF $vnf_j^{i,u}$ and $vnf_i^{i,v}$ |
| t^i_j | Arrival time of request r_i^i |
| d^i_j | Service time of request r_j^i |

The mapping of an SFC request r^i_j to the physical substrate G^p can be divided into two actions: mapping of VNF set VNF^i_j with the corresponding CPU and RAM demands to the physical nodes N^p ; and mapping of virtual link set $L^{V_i}_j$ to physical links L^p and network devices ND^p . The mapping process can be expressed as follows:

$$f: R_i \longrightarrow G^p$$
 (1)

Which are separated into two sub-processes:

$$g: \mathit{VNF}^i_j \longrightarrow N^P$$
 (1a)

$$h: L_j^{V_i} \longrightarrow (ND^P, L^P)$$
 (1b)

We further introduced several binary variables to indicate if the mapping was successful:

$$\alpha(\mathit{vnf}_j^{i,k} \to n_i^p) = \begin{cases} 1; n_i^p \in N^p & \text{if } \mathit{vnf}_j^{i,k} \text{ is mapped to } n_i^p \\ & \text{successfully} \\ 0 & \text{otherwise} \end{cases}$$

$$\alpha[l_j^{V_i}(u,v) \to l_m^p] = \begin{cases} 1 & \text{if virtual link } l_j^{V_i}(u,v) \text{ is mapped} \\ & \text{to physical } l_p^m \text{ successfully} \\ 0 & \text{otherwise} \end{cases}$$

$$\delta(r^i_j \to G^p) = \begin{cases} 1 & \text{if SFC } r^i_j \text{ is mapped successfully} \\ 0 & \text{otherwise} \end{cases} \tag{4}$$

B. Resource utilization

1) CPU utilization of physical nodes: As shown in Section IV-B, the CPU usage increases linearly depending on the number and type of VNF embedded. Therefore, the CPU usage in percentage of an edge device e_j^p and a cloud server s_k^p can be modeled as the sum of the CPU utilization in the idle mode and the CPU utilization of all VNFs residing in this computer. This can be expressed as the following:

$$C(e_{j}^{p}) = C(\emptyset \to e_{j}^{p}) + \sum_{i \in arg(A)} \sum_{v \in arg(r_{i}^{i})} \alpha(vnf_{j}^{i,v} \to e_{j}^{p}) \times C(vnf_{j}^{i,v} \to e_{j}^{p})$$
(5)

$$\begin{split} &C(s_k^p) = C(\emptyset \to s_k^p) \\ &+ \sum_{i \in arg(A)} \sum_{v \in arg(r_j^i)} \alpha(\textit{vn} f_j^{i,v} \to s_k^p) \times C(\textit{vn} f_j^{i,v} \to s_k^p) \end{split} \tag{6}$$

In Eq. (5) and (6), $C(\emptyset \to e^p_j)$ and $C(\emptyset \to s^p_k)$ express the CPU utilization in idle mode of the edge computer and cloud server, respectively. $C(vnf_j^{i,v} \to e^p_j)$ and $C(vnf_j^{i,v} \to s^p_k)$ express the CPU utilization of the VNF type v, belonging to SFC i originating from edge e^p_j when embedding on the edge e^p_j or server s^p_k , respectively. The actual CPU utilization of individual VNF types is shown in Table III. Besides, the CPU capacity of edge device e^p_j and cloud server s^p_k are denoted as $C_{cap}(e^p_j)$ and $C_{cap}(s^p_k)$, respectively.

2) Bandwidth utilization of physical link: Function B(.) is used to demonstrate the bandwidth usage of a link. For example, bandwidth demand of virtual link $l_j^{V_i}(u,v)$ when being mapped to physical link $l_m^p \in L^p$ is defined as $B[l_j^{V_i}(u,v) \to l_m^p]$. The total bandwidth usage of a physical link l_m^p is the total bandwidth demands of all virtual links successfully embedded on that link, expressed as follows:

$$B(l_m^p) = \sum_{i \in arg(A)} \sum_{arg[l_j^{V_i}(u,v)] \in arg(L_j^{V_i})} \alpha[l_j^{V_i}(u,v) \to l_m^p]$$

$$\times B[l_j^{V_i}(u,v) \to l_m^p]$$

$$(7)$$

The bandwidth capacity of a physical link l_m^p is denoted as $B_{cap}(l_m^p)$. It should be noted that if $\mathit{vnf}_j^{i,u}$ and $\mathit{vnf}_j^{i,v}$ reside at the same node, no physical link is required to interconnect these VNFs; thus, the bandwidth demand on the physical link can be denoted as $B[l_j^{V_i}(u,v) \to \emptyset] = 0$. The bandwidth demand of each type of VNFs has been shown in Table III.

C. Power consumption

As energy consumption is significantly proportional to the states of the servers and network devices [37], power saving goal can be achieved by reducing the power consumption of servers and network devices. It is assumed that the computing

TABLE V: Power profile of HP Enterprise switch [80]

| Operating speed | Power(W) |
|-----------------------------|----------|
| P_{static} | 39 |
| P_{10} -10Mpbs per port | 0.42 |
| P_{100} -100Mpbs per port | 0.48 |
| P_{1000} -1Gpbs per port | 0.9 |

units and network devices are able to change their states depending on actual loads [78], [79], that is, devices are able to turn off or enter sleep/standby mode after consolidation or offloading while maintaining the ability to wake up when necessary. Power consumption of a device can significantly be reduced when inactive, as shown in previous work [37], [78], [79]. In this work, the state of a physical device is denoted by the binary function state, which is equal to 1 when the device is in ON state and 0 otherwise (OFF state).

- ${\tt state}(s_k^p,t)$ and ${\tt state}(e_j^p,t)$ denotes the working state of the server $s_k^p \in S^p$ and edge device $e_j^p \in E^p$ at time t, respectively.
- state (nd_k^p,t) denotes the working state of the network device $nd_k^p \in nd^p$ at time t.

1) Power consumption of computing units: As observed earlier in Section IV-B, similar to CPU model, power consumption of computing unit also follows the linear trend depending on the number and type of hosted VNFs, that is, the power consumption of a computing unit (i.e., edge computer or cloud server) is in proportion to the number of VNFs running inside the computer. Let us denote $P(\emptyset \to e^p_j)$ as the baseline power of an edge device e^p_j , $P(vnf^{i,v}_j \to e^p_j)$ as the power consumption of $vnf^{i,v}_j$ when it resides in e^p_j , and ${\tt state}(e^p_j,t)$ as the working state of the edge e^p_j ; then the power consumption of an edge device at time t can be defined as baseline power and the power of all VNFs located in e^p_j :

$$\begin{split} P(e^p_j,t) &= \mathtt{state}(e^p_j,t) \times [P(\emptyset \to e^p_j) \\ &+ \sum_{i \in arg(A)} \sum_{v \in arg(r^i_j)} \alpha(\mathit{vnf}^{i,v}_j \to e^p_j) \times P(\mathit{vnf}^{i,v}_j \to e^p_j)] \end{split} \tag{8}$$

Similar to the edge device, we have formulated cloud server power consumption as follows:

$$\begin{split} P(s_k^p,t) &= \mathtt{state}(s_k^p,t) \times [P(\emptyset \to s_k^p) \\ &+ \sum_{i \in arg(A)} \sum_{v \in arg(r_j^i)} \alpha(\mathit{vnf}_j^{i,v} \to s_k^p) \times P(\mathit{vnf}_j^{i,v} \to s_k^p)] \end{split} \tag{9}$$

2) Power consumption of network devices: The power consumption of a network device in the corresponding working states was modeled based on previous analysis [37], [81], [82]. The state of a network element nd_k^p is defined by binary indicator $\mathtt{state}(nd_k^p,t)$, which is 1 if the device is turned on and 0 otherwise. For the simulation section, we have chosen the energy-aware commercial 24-port HP Enterprise [80] with the power modeling, as shown in Table V. A switch can adapt the capacity of its network interfaces to the actual load, so that the power consumption can be saved. In the equation below,

 $P(\emptyset \to nd_k^p)$ is denoted as the baseline power of the switch, and P_j^k indicates the power consumption of one of the m network interfaces of nd_k^p with regard to its operating speed (Table V).

$$P(\operatorname{nd}_k^p,t) = \operatorname{state}(\operatorname{nd}_k^p,t) \times [P(\emptyset \to \operatorname{nd}_k^p) + \sum_{j=1}^m P_j^k] \ (10)$$

D. Objectives and constraints

Assume that at the time t, a request R_j arrives in the edge $e^i_j \in E^p$, and it is expected that the SFC algorithm must maximize the number of accepted SFCs:

The process of mapping R_j into G^p that ensures maximum number of accepted chain may result in multiple solutions as follows:

$$f(R_i \longrightarrow G^p) = \{x_1, x_2, ..., x_n\} = \mathbf{x} \tag{12}$$

where x_i is an individual mapping solution, \mathbf{x} is a vector of solutions. The sub-objective is to find the mapping method $x_i \in \mathbf{x}$ that the power consumption equation $P(x_i,t)$ returns minimum value:

find
$$x_i = \operatorname*{arg\,min}_{x_i} P(x_i, t), \quad x_i \in \mathbf{x}$$
 (13a)

with
$$P(x_i, t) = \sum_{k \in arg(E^p)} P(e_k^p, t) + \sum_{k \in arg(ND^p)} P(s_k^p, t) + \sum_{k \in arg(ND^p)} P(nd_k^p, t)$$
 (13b)

The objective functions in Eq. (11) and (13) are subject to the following constraints:

$$\alpha(\operatorname{vnf}_{j}^{i,s} \to e_{j}^{p}) = 1; \ \alpha(\operatorname{vnf}_{j}^{i,d} \to s_{k}^{p}) = 1$$
$$\text{if } \delta(r_{j}^{i}) = 1, \forall r_{j}^{i} \in R_{j}$$
 (14)

This constraint ensures that if an SFC r_j^i is mapped to the system, the first VNF $vnf_j^{i,s}$ (i.e., Decoding) must stay at edge node while the last VNF $vnf_j^{i,d}$ (i.e., LOS decision) must always stay at server node.

$$\sum_{j \in arg(E^p)} \alpha(\mathit{vnf}_j^{i,v} \to e_j^p) \le 1; \sum_{k \in arg(S^p)} \alpha(\mathit{vnf}_j^{i,v} \to s_k^p) \le 1$$

$$\text{with } \forall \mathit{vnf}_j^{i,v} \in r_j^i, \forall r_j^i \in R_j \tag{15}$$

Constraint (15) ensures that every VNF must be regulated such that only one instance of it is mapped to the system at either edge or cloud.

$$\sum_{j \in arg(E^p)} \sum_{v \in arg(r^i_j)} \alpha(vnf_j^{i,v} \to e^p_j) - \sum_{v \in arg(r^i_j)} \alpha(vnf_j^{i,v} \to e^p_j) \le 0 \text{ with } \forall r^i_j \in R_j$$
(16)

Constraint (16) ensures that VNFs belonging to an SFC must stay within an edge node, as mentioned earlier.

$$C(e_j^p) \le C_{cap}(e_j^p); \quad C(s_k^p) \le C_{cap}(s_k^p)$$
with $\forall e_j^p \in E^p, \forall s_k^p \in S^p$ (17)

In Constraint (17), the CPU usage of edge nodes and server nodes must be less than or equal to their designated CPU capacity.

$$B(l_m^p) \le B_{cap}(l_m^p) \quad \text{with } \forall l_m^p \in L^p$$
 (18)

Constraint (18) ensures that the total utilization of the physical link must not exceed its capacity $B_{cap}(l_m^p)$.

$$\forall e_k^p, e_k^p \in g(\mathit{VNF}_j^i): \mathtt{state}(e_k^p, t) = 1, \mathtt{state}(s_k^p, t) = 1 \tag{19}$$

$$\forall nd_k^p \in h(L_i^{V_i}) : \operatorname{state}(nd_k^p, t) = 1$$
 (20)

Constraint (19) and (20) require physical nodes that VNFs have been mapped upon, and network device that physical links are connected to must be turned on, respectively.

The introduced optimization problem is intractable because it requires solving an \mathcal{NP} -hard problem. Due to its high complexity, it is not possible to solve the problem directly in a timely manner given the large number of servers and network nodes. Thus, in the next section, RE-SCE – a heuristic SFC embedding strategy, is introduced. The system models in this section are useful for the development of the embedding algorithm, as well as the simulation in the following sections.

VI. RESOURCE AND ENERGY-AWARE SERVICE CHAIN EMBEDDING STRATEGY (RE-SCE)

A. Edge-cloud network topology

Fig. 5 shows the edge-cloud topology that is used to deploy the proposed SFC embedding algorithm. The edge clusters are connected to the centralized data center through the Internet. At the data center, we use a k-ary Fat-Tree topology [83], which consists of the same $(5k^2)/4$ k-port switches and $k^3/4$ servers. As shown in the figure, switches in the Fat-Tree topology are arranged in three layers, namely the access, aggregation, and core. Two servers are said to belong to a near group, middle group, or far group if the traffic exchanged between them should go through an access switch only, or aggregation switches or core switch, respectively. The virtual link interconnecting two VNFs in a near group, middle group, and far group are called near link, middle link, and far link, respectively. It was observed that a near link is shorter, its bandwidth demand is easier to satisfy, and it is more energyefficient, as the link should traverse less network devices.

B. RE-SCE strategy

This section describes the *Resource and Energy-aware Service Chain Embedding (RE-SCE) strategy* proposed in this work that is suitable for the edge-cloud infrastructure. The main objective of RE-SCE is to maximize the number of accepted chains by balancing the resource usage between edge

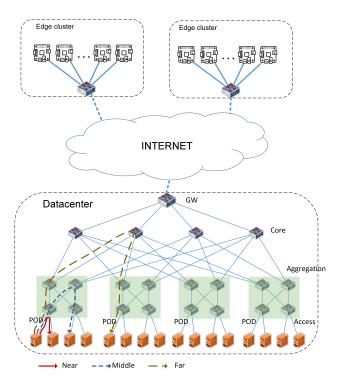


Fig. 5: Overall topology from Edge tier to Cloud tier

and cloud tier. Then the power consumption of the physical substrate can be minimized by optimizing VNF-placement at DC and the edge.

By combining *offloading* and *mapping* strategies (see Section II-B), the SFC embedding is performed in three steps expressed by three different procedures:

- Edge-Cloud VNF Offloader (E-Coff): This procedure decides if a VNF can stay at edge or be offloaded to cloud server based on the resource state of both tiers' devices.
- VNFmapping (VNFm): After deciding which VNFs reside
 in the edge or cloud, this procedure is responsible for
 mapping VNFs to specific physical computing devices.
 Since the VNFs of an SFC in this work could only be
 mapped either on the edge device that the SFC originates,
 or in the data center, this process mainly decided the VNF
 placement to a specific server at the data center.
- Virtual Link mapping (VLm): Once the locations of the VNFs in the SFC are decided, this procedure takes charge of how virtual links among VNFs are mapped in the physical links.

The entire RE-SCE is a joint resource—energy efficient embedding described in Algorithm 1. The proposed embedding algorithm relies on *E-Coff, VNFm*, and *VLm* that are presented in Procedure 1, 2, and 3, respectively.

When a set R_j of SFC requests arrives, RE-SCE firstly uses $\emph{E-Coff}$ to create an $\emph{OffloadingSet}$ list of feasible placements for VNFs. Subsequently, each mapping feasibility in the list is fetched into the \emph{VNFm} and \emph{VLm} procedure, which are responsible for mapping VNFs and virtual links into physical machines and physical links, respectively. The result of these

two procedures are added into ListPlacement, which includes all placements that satisfy the constraints. If ListPlacement is empty, meaning that there is no satisfied placement due to resource shortage, the algorithm rejects one random r_j^i in the request set R_j in order to reduce the resource demand (line 10 to line 12). Finally, RE-SCE calculates the power consumption of each placement following equation 13b and sorts all possible placements in the ascending order of power consumption (line 17 - 18 in Algorithm 1). The first placement with minimum power consumption is chosen as the final solution. SFCmapping() (line 21) can do the actual mapping on the system. The detail of the three procedures is illustrated in the following sections.

Algorithm 1 RE-SCE algorithm

```
1: Input: G^P, R_i
 2: Initialization: Success = false; ListPlacement = \emptyset;
   while Success = false; do
4:
       OffloadingSet = VNFOffloader(R_i);
       for feasibility \in OffloadingSet do
5:
           VNFmResult = VNFmapping(R_i, feasibility);
6:
7:
           VLmResult = VLmapping(R_i, VNFmResult);
           ListPlacement \leftarrow VLmResult;
8:
       end for
9:
       if ListPlacement = \emptyset then
10:
           r_i^i = \operatorname{pickRandom}(R_j)
11:
           \vec{R}_i = R_i \setminus r_i^i;
12:
           continue;
13:
14:
           Success = true;
15:
       end if
16:
17: end while
18: calculatePower(ListPlacement);
19: sorting(ListPlacement, PowerAscendingOrder);
20: Solution = getFirstElement(ListPlacement);
21: G^P = SFCmapping(Solution);
22: output: G^P
```

C. edge-cloud VNF Offloader (E-Coff)

When a set of requests R_j arrives in the system, E-Coff finds a feasible placement for each VNF in R_j . It is to be noted that this process solely decides if the VNF placement will take place at the edge device e_j^p and/or in the cloud, while the server location in the cloud is decided by the VNFm procedure. The following steps explain the procedure in detail.

- EdgeSet and CloudSet keep a possible combination of VNF placements in the edge–cloud for each trial. The algorithm first tries a combination of all $\{vnf_j^{i,Cap},vnf_j^{i,Dec},vnf_j^{i,Des}\}$ in the edge, except $vnf_j^{i,DOS}$, which is in the cloud.
- It then tries to gradually move VNFs to the cloud. In each trial, VNFOffloader() checks the CPU sufficiency in the cloud. If the condition is satisfied, the procedure moves one additional VNF to the cloud (line 5 to 11).
- All possible combinations are then added in OffloadingSet. The combinations are arranged in the order that

the one with more VNFs in the edge has higher priority. The <code>VNFmapping()</code> is then invoked to find the specific locations of VNFs in the edge-cloud.

Note that this algorithm can be applied not only for the smart traffic monitoring IP camera system but for any linear service chain.

Procedure 1 VNFOffloader()

```
1: Input: G^P, R_j

2: Initialization: OffloadingSet = \varnothing;

3: EdgeSet = \{vnf_j^{i,Cap}, vnf_j^{i,Dec}, vnf_j^{i,Des}\};

4: CloudSet = \{vnf_j^{i,LOS}\};

5: while EdgeSet \setminus vnf_j^{i,Cap} \neq \varnothing; do

6: if \{C[EdgeSet \rightarrow e_j^p] \leq C_a(e_j^p)\}

\land \{C[CloudSet \rightarrow S^p] \leq C_a(e_j^p)\} then

7: OffloadingSet \leftarrow \{EdgeSet \cup CloudSet\};

8: CloudSet \equiv CloudSet \cup getLastElement(EdgeSet)

9: EdgeSet \equiv EdgeSet \setminus getLastElement(EdgeSet)

10: end if

11: end while

12: Output: OffloadingSet
```

D. VNF mapping (VNFm)

Considering the VNF placement feasibility in OffloadSet from VNFOffloader(), the VNF mapping algorithm represented in Procedure 2 first finds possible servers that can host VNFs by solving the bin-packing problem related to VNF CPU demands. Like First Fit [43], serverMapping() used in VNFm tries to group VNFs into a small number of servers to reduce the power consumption. SFCs are mapped one by one in the series of server from left to right in the Fat-Treebased data center. VNFs belonging to each SFC are allocated into the first server that has enough available CPU (line 3). However, this method may put VNFs belonging to a SFC at a far distance (i.e., far link). Let us denote $vnf_j^{i,S}$ and $vnf_j^{i,D}$ as two neighbor VNFs of an SFC, where traffic goes from $vnf_j^{i,S}$ to $vnf_j^{i,D}$. It is possible that the <code>serverMapping()</code> does not place $vnf_j^{i,S}$ and $vnf_j^{i,D}$ in the same near group (line 5), which causes an increased bandwidth usage in the physical links. In order to improve the network utilization, a migration strategy is introduced (from line 5 to 17) as follows:

- If the server s_k^p hosting vnf_j^{i,S} has sufficient CPU to serve vnf_j^{i,D}, then vnf_j^{i,D} is migrated to s_k^p.
 Otherwise, let us call an *independent* VNF as an only
- Otherwise, let us call an *independent* VNF as an only LOS VNF of an SFC that resides in the cloud side, while the other VNFs are at the edge. The algorithm finds a set *indVNFset* of independent $vnf_m^{n,ind} \in s_k^p$ that can be migrate to other locations to free up CPU capacity for $vnf_i^{i,D}$.
- Moving $vnf_m^{n,ind}$ by using serverMapping() (line 11 to 13), and $vnf_j^{i,D}$ is to be migrated to s_k^p . Placing $vnf_j^{i,ind}$ at low priority will not affect the link utilization of the system as it does not have connection between VNFs inside the data center.

Moreover, in order to improve the system resource utilization, VNF consolidation for all active SFCs in the system A^v is performed whenever an SFC r^i_j departs from the system. To reduce the consequences of VNF-interference mentioned in Section II-B2, the algorithm tries to consolidate VNFs belonging to an SFC into one physical machine. This practically reduces the bandwidth consumption caused by traffic between VNFs, and therefore also reduces the impact of VNF-interference.

```
Procedure 2 VNFmapping()
   1: Input: G^P , R_j, Offloading Set
   2: Initialization: VNFmResult = \emptyset;
 3: g^p = \text{serverMapping}(OffloadingSet, G^p);
4: if getGroup(vnf_j^{i,S}, vnf_j^{i,D}) \neq near then
5: if C(vnf_j^{i,D} \rightarrow s_k^p) \leq C_a(s_k^p) then
6: g^p \leftarrow (vnf_j^{i,D} \rightarrow s_k^p);
  7:
                          \begin{array}{l} \mathit{indVNFnumber} = \\ \mathit{ceil}[C(\mathit{vnf}_j^{i,D} \to s_k^p)/C(\mathit{vnf}_m^{n,ind} \to s_h^p)]; \\ \mathbf{if} \ \mathit{getNumberVNF}(\mathit{vnf}_m^{n,ind}) \ \geq \ \mathit{indVNFnumber} \end{array}
  8:
  9:
 10:
         then
11:
                                   {\tt getSetVNF}(\textit{vnf}_m^{\,n,ind}, \textit{indVNFnumber});
 12:
                                   \begin{array}{l} g^p = \operatorname{serverMapping}(\operatorname{indVNFset}, g^p); \\ g^p \leftarrow (\operatorname{vnf}_j^{i,D} \rightarrow s_k^p); \end{array}
 13:
 14:
 15:
                          end if
 16:
                 end if
17: end if
18: VNFmResult \longleftarrow g^p;
19: Output: VNFmResult
```

E. Virtual link mapping (VLm)

VLm takes charge of creating connections inside and outside the data center. In our link-mapping algorithm, we call the external traffic as the traffic from the edge to the cloud and internal traffic as the traffic between VNFs inside the data center.

In our work, the *Elastic Tree* [84] based on the Fat-Tree topology is applied for energy efficiency in the data center. The Elastic Tree maintains a minimal number of devices in the ON_State. In a large-scaled data center, putting devices into inactive state would save a significant amount of energy. For the core network, the *Breadth First Search* [85] (BFS) algorithm is used to find the shortest path between the edge and the data center. The *VLm* procedure is described in the following steps:

- Step 1: For each virtual link (line 4), $l_j^{V_i}(u,v)$ connects $vnf_j^{i,u}$ with $vnf_j^{i,v}$ that exists *external traffic* (line 5), VLm() finds the path through the core network by using BFS() (line 6), which prioritizes the shortest path; the result returns true if a feasible path is found.
- Step 2: Subsequently, for the *internal traffic*, *Vlm()* identifies the list of ON_STATE switch according to VNFs location *VNFmResult* (line 8). Then, the set of physical

link to connect these switches is constructed, which consumes the least available bandwidth and least power (line 10–12).

 Step 3: Finally, VLm() checks if all elements in the list of link have enough bandwidth for mapping (line 13–17). If both external traffic and internal traffic are satisfied (line 22), the SFC is accepted (line 23).

Procedure 3 VLmapping()

```
1: Input: G^P, VNFmResult
 2: Initialization: VLmResult = \emptyset;
    for r_i^i \in R_j do
          for l_{j}^{V_{i}}(u,v) \in L_{j}^{V_{i}} do

if \exists ExternalLink then

ExLinkResult = BFS[l_{j}^{V_{i}}(u,v)];
 4:
 5:
 6:
 7:
               listSwitchIn = VLim[VNFmResult, l_i^{V_i}(u, v)];
 8:
               //Construct link
 9:
               ListLinkIn = constructLink(listSwitchIn);
10:
               ListLinkIn = sort(listSwitchIn, key = cap,
11:
12:
               order = asc);
               for sLink \in listLinkIn do
13:
                    if sLink = satisfied then
14:
                          result \leftarrow map(link, sLink);
15:
16:
                    end if
               end for
17:
          end for
18:
          \begin{array}{l} \textbf{if} \ |\textit{result}| = |L_j^{V_i}| \ \textbf{then} \\ \textit{InLinkResult} = \textbf{true}; \end{array}
19:
20:
21:
          if ExLinkResult \wedge InLinkResult = true then
22.
               VLmResult \leftarrow result;
23:
          end if
24:
25: end for
26: Output: VLmResult
```

VII. PERFORMANCE EVALUATION

A. Simulation scenarios

To evaluate the performance of the proposed algorithm on a large IoT system, a simulation was conducted. In the field of edge–cloud, there are several popular simulators such as IFogSim [86] and FogTorch [87]. However, as IFogSim only allows creating tree-based topology [88] and other tools are deprecated, we developed *BK-EdgeCloud*, a flow level event-based Java simulator that simulates the entire system from the edge to the cloud environment. BK-EdgeCloud allows evaluating different key performance indicators in detail, such as energy consumption, acceptance ratio, and utilization.

1) Topology: We selected the topology of Atlanta city provided by IBM Watson Research, New York, shown in Fig. 6, as the core network. All nodes from N1 to N15 represent the backbone switches. The data center was connected to node N1, four edge clusters EDGE1, EDGE2, EDGE3, EDGE4 are connected to N14, N13, N5, N3, respectively. At the data center, a k-ary Fat-tree topology with k=10, which can serve 250 servers, was used. We assumed the

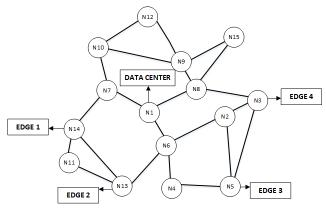


Fig. 6: Atlanta city network [89]

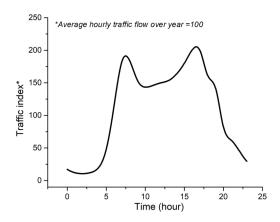


Fig. 7: Vehicle unit distribution recorded in a weekday, UK, 2018 [90]

bandwidth capacity of physical link from an edge node to the edge gateway to be 100 Mbps, 20 Gbps of the core network, and 1 Gbps inside DC. The power profile of switches is taken from [80], as shown in Table V, while the power profile of the servers is modeled as previously described (see Sec. V-C1). EDGE1 and EDGE2 have 100 Rpis each, while EDGE3 and EDGE4 have 50 Rpis each. Therefore, the number of edge computers account for 300 units. At each edge node, the Pis are connected by a star topology to a central gateway, as previously shown in Fig. 5.

2) Camera requests: In simulation scenarios, it is assumed that each Rpi can host up to 7 cameras, which means that a total number of 2100 cameras are deployed massively in the streets of the city. They are activated depending on the current traffic situation. As shown in Fig. 7, we considered a one-day average traffic density in the UK in 2018 as reported by the British government [90]. The traffic index is the normalized traffic volume in terms of the number of vehicles relative to the mean value of 100. It is further assumed that the number of active cameras is proportional to the road traffic density and follows Poisson distribution, that is, the number of camera activation requests, or the equivalent number of SFC requests

is Poisson-distributed and in proportion with the traffic density. The maximum arrival rate (λ) is 2100, while the minimum λ is 120. The average duration of an SFC request is 2 hours and exponentially distributed.

- 3) Performance criteria: The three following criteria are used to evaluate the performance of the proposed approach:
 - Resource efficiency, which shows how limited resources are utilized to serve a maximized number of SFC requests.
 - Energy efficiency, which expresses how much energy is consumed to serve a number of SFCs. The less energy is consumed for an SFC, the more energy efficient the system is.
 - Complexity, which is the cost when performing measures to improve the resource and energy efficiency, such as VNF offloading or migration.

TABLE VI: Algorithms selected for comparison with RE-SCE

| Mapping Offloading | Least-Loaded [45] | First-Fit [43] | VNFm |
|-----------------------|-------------------|----------------|----------------|
| Baseline [39] | BL-LL | BL-FF | BL-VNFm |
| SFCCM [40] | SFCCM-LL | SFCCM-FF | SFCCM- VNFm |
| E-Coff | ECoff-LL | ECoff-FF | RE-SCE |

As addressed in Section VI, in order to meet the requirements on resource and energy efficiency, the proposed RE-SCE combines an *offloading* strategy that balances resource utilization in the edge–cloud (E-Coff) with the resource and energy efficient VNF mapping (VNFm) and Virtual Link *mapping* (VLm) algorithms. The performance of RE-SCE, including E-Coff and VNFm, is compared with two existing offloading algorithms, namely SFCCM [40] and Baseline [39], and two existing mapping algorithms, namely Least-Loaded [45] and First-Fit [43], as shown in Table VI.

B. Simulation setups

A simulation was performed from scratch in Java. All entities of the network topology in Figure 6 include edge devices, servers, network switches, and physical links that were covered in the simulation. The simulation was performed on the SFC-level so that factors such as packets collision and packet drop rate were neglected. A discrete event, that is, an SFC request, arrived in the system containing several attributes of its demands, such as CPU and bandwidth. Incoming SFC requests were handled in a first-in-first-out manner. The simulations were repeated in several runs and the average over the simulation runs have been reported in the figures and tables in accordance with the *replicate-delete method* [91]. An implementation of the simulator can be found at our GitHub repository [92].

C. Simulation results

This section shows results of the proposed RE-SCE in comparison with the aforementioned mapping and offloading strategies.

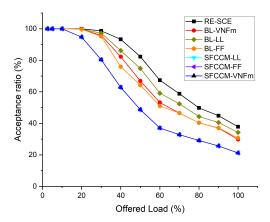


Fig. 8: Acceptance ratio.

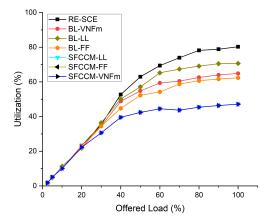


Fig. 9: System utilization under varied load situation.

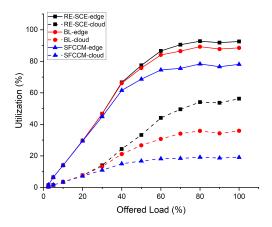


Fig. 10: Comparison of resource utilization in the edge and among algorithms.

1) Resource efficiency: Fig. 8 shows the acceptance ratio of the SFC embedding algorithms, which is the ratio between the number of accepted incoming SFCs and the total SFC requests. Fig. 9 presents the system utilization. These two metrics were investigated under varied offered load. The two figures show that as the offered load increase, the acceptance ratio decreased and the system utilization increased accordingly but not linearly with the offered load. This implies that physical resources cannot be fully utilized. SFC requests were rejected even if some resources are available (i.e., utilization is less than 100%) in the physical substrate due to the imperfection of the SFC embedding algorithms.

Overall, RE-SCE utilized the edge and cloud resources better than the other two BL and SFCCM algorithms owing to its offloading strategy. The results show that a higher number of requests are accepted in RE-SCE when the offered load increases following more demands for turning on cameras in rush hour. On the other hand, SFCCM did not perform well, regardless of the mapping algorithms used. Even though BL and SFFCM were combined with VNFm, their results could not match those of RE-SCE due to the strategy of preferring "low" cost resources, putting the edge resources into exhaustion, as well as the lack of migration process between the edge-cloud. As shown in Figure 9, the system utilization under RE-SCE can reach up to 80%, while that of SFCCM and Baseline with Least-Loaded can only be around 40% and 70%, respectively. In Fig. 10, the edge and cloud utilization of these algorithms are shown separately. It is to be noted that BL and SFCCM are combined with VNFm to have a fair comparison with RE-SCE in this scenario. Thanks to the balance between the edge-cloud resources as well as the migration strategy, RE-SCE has a higher utilization in the cloud, resulting in higher overall utilization and higher acceptance ratio. As can be seen, the utilization of RE-SCE in the cloud can be as high as 55%, while utilization of BL and SFCCM in the cloud is remarkably lower, which accounts for 30% and 20%, respectively. One important observation here is that the offloading strategies have an impact on the resource efficiency of the physical edgecloud system.

2) Energy efficiency: Fig. 11 shows the total system power consumption for different SFC embedding strategies under various utilization scenarios. The results show that mapping strategies have an impact on the system power consumption, that is, applying different mapping algorithms with the same offloading strategy could produce a different energy consumption profile. For instance, as can be seen in Fig. 11, Baseline is the most power-consuming algorithm when combined with Least Loaded (i.e., up to 72kW), while its power consumption is only a half when combined with First Fit or VNFm (40kW). Since the Least Loaded algorithm maps VNF requests in least loaded servers, the load tends to be distributed evenly among all servers in the cloud, leading to a high number of active servers in the data center with the consequence of high power consumption. In contrast, First Fit and VNFm try to map VNF requests in a minimum number of active servers, so that idle servers can be put in standby mode. Thus, the strategy applied in VNFm and First Fit is clearly more energy efficient.

The above argument is further elaborated when calculating

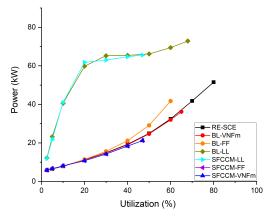


Fig. 11: System power consumption.

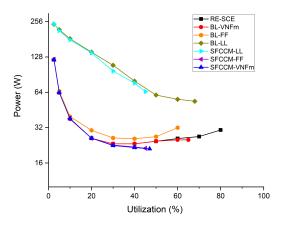


Fig. 12: Average power consumption of an SFC.

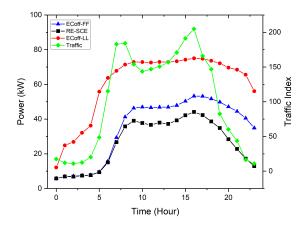


Fig. 13: System power consumption varied with traffic intensity

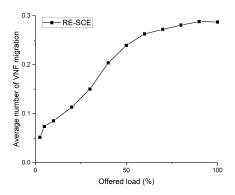


Fig. 14: Average number of migrations per VNF.

the power consumption per SFC, as shown in Fig. 12. The results presented in the figure indicate that under the same offloading strategy (i.e., BL, SFCCM or RE-SCE), VNFm is the most energy efficient mapping algorithm. For instance, under the same utilization of 47%, the average power consumption of an SFC with SFCCM-LL can be up to 66W, while that of SFCCM-VNFm is only 22W. It is also observed that the power consumption of an SFC in low-loaded situation is higher than that of higher loaded. As the utilization increases, the SFC power consumption gradually decreases, especially in case of BL-LL and SFCCM-LL. In contrast, the SFC power consumption of RE-SCE remains nearly the same as the system utilization is higher than 25%, which implies that when deploying RE-SCE the power consumption of the physical edge-cloud substrate stays nearly linear with the number of accepted SFCs, following the energy proportional property. On the other hand, when utilization reaches 55% and above, the power consumption slightly increases. In highly loaded situation, in order to maintain high utilization, VNFs are gradually offloaded from the edge to cloud, resulting in higher power consumption as more VNFs are located in the cloud.

We further investigated the dependency of power consumption on the road traffic intensity under different mapping strategies, namely VNFm, First Fit, and Least Loaded, where First Fit and Least Loaded make use of the newly proposed offloading E-Coff algorithm. The green curve in Fig. 13 presents the traffic density in the UK in one day (also shown in Fig. 7). As can be seen, the system power consumption varies with traffic density, which also reflects the energy proportional property of the mapping algorithms. RE-SCE is the most energy efficient strategy, as it consumes the least power under any load situation. Also, the power consumption can adapt very well to the actual traffic situation. In contrast, Least Loaded is the worst energy efficient strategy.

3) Complexity: We further investigated the complexity of RE-SCE. As addressed in [37], as requests continuously join and leave the system, resource fragmentation may occur, which consequently leads to temporary degradation of resource efficiency. In order to cope with this resource dynamic problem, consolidations of VNF's containers are regularly performed by RE-SCE to transfer accepted VNFs to new, more

efficient locations. This mechanism helps rearrange available resources in physical machines to increase the acceptance ratio and system utilization. However, re-location of VNFs also increases the system complexity. Fig. 14 shows the average number of required migrations per VNF of an SFC request depending on offered load. In low load situation, each VNF is moved to a new location 0.1 times on the average. As the load increases, it is more difficult to find an appropriate place to map a new VNF request, thus more migrations are required to optimize available resource.

D. Discussions

The aforementioned evaluation shows that RE-SCE is the most efficient strategy. RE-SCE performs very well in terms of energy efficiency, as shown in Fig. 11 and 12. While some other strategies such as BL-VNFm or SFCCM-VNFm might be a little better in terms of energy performance, RE-SCE outperforms the others in terms of resource efficiency (Fig. 8 and 9) owing to the trade-off between offloading and mapping methods deployed in E-Coff and VNFm. In general, RE-SCE is the best strategy that can accept a maximum number of SFC requests while keeping the power consumption low. In other words, it can balance energy efficiency and system utilization very well, in the sense that the energy consumption is one of the lowest, while the system utilization is the highest. On the other hand, RE-SCE increases the system complexity by performing VM migration as the cost to improve resource and energy efficiency (Fig. 14).

In general, it is observed that the offloading algorithms are important to balance resource utilization between the edge and the cloud and improve resource utilization. VNF mapping algorithms, on the other hand, are considered as a fine grained algorithm to decide the precise location of the VNF in a server. The mapping policy has an impact on energy efficiency as well as the resource utilization. By combining offloading and mapping, a good trade-off between resource and energy efficiency can be reached.

VIII. CONCLUSIONS AND FUTURE WORK

In this paper, we have firstly addressed some issues in modeling resource and energy utilization for IoT applications in edge—cloud paradigms. A smart traffic monitoring IP camera system was deployed as a use case for a realistic modeling of a service chain. The system was implemented in our testbed, which was designed and developed specifically to model and investigate the resource and energy utilization of SFC embedding strategies. A dynamic energy-aware SFC strategy in edge—cloud paradigm for IoT applications was then proposed. Results showed that our strategy outperforms some of the existing approaches in terms of resource and energy efficiency.

The evaluation in this work is applicable to homogeneous, linear SFCs. As the current and future work, it would be interesting to develop flexible and cost-efficient SFC embedding strategies for dynamic, general SFC requests with any topology to support a wide range of IoT services and applications. Under this circumstance, it is required that the

SFC embedding algorithm be more adaptive to the edge-cloud system condition and have a higher level of automation to better accommodate the current and upcoming demands. For IoT applications with predictable load patterns in a time period, machine learning approaches may provide load and resource prediction. Then, the SFC embedding algorithm can benefit from such a prediction. Appropriate machine learning (ML) approaches are a good solution to reduce complexity and improve efficiency of the ML-based SFC embedding algorithm. A comparison of our proposed solution in conjunction with machine learning for load prediction can be considered for future research work.

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